

## Summary Syllabus

ELEMENT 1 Information technology in the securities industry

ELEMENT 2 It and the functional flow of financial instruments

ELEMENT 3 The role of it in the front office

ELEMENT 4 The role of it in the pre-settlement phase

ELEMENT 5 The role of it in the settlement and post-settlement phases

ELEMENT 6 The impact of it on financial control

ELEMENT 7 It Management

ELEMENT 8 Managing business change

ELEMENT 9 The globalisation of it services

For more information please write to: [info@stratadigm.biz](mailto:info@stratadigm.biz) or call: +91 40 40123624

Certificate Code: **C05**

## INVESTMENT OPERATIONS FOR TECHNOLOGY PROFESSIONALS

On successful completion of the course and examination you will

- Receive Certificate in Investment Operations conferred by Stratadigm
- Be ready to complete the Certificate in Investment Administration – IT in Investment Operations, conferred by the Chartered Institute for Securities & Investment, London

### Course Duration

80 hours of class-room sessions

### Course Delivery Channel

Instructor-led, class room sessions

Instructor-led, virtual class room sessions with contact sessions

### Course Schedule (for instructor-led, class room sessions)

Flexible schedules – week-end classes for working professionals and evening classes for students / others

### Course Methodology

Course delivery will use a fine mix of Theory; Examples and Workflows. Concepts will be driven with the help of Games; Quizzes; Role Plays; Case Studies and Caselets; Exercises; and Problem Solving etc.

### International Certification

*Chartered Institute for Securities & Investment, London*



## Information Technology in Investment Operations

### The Chartered Institute for Securities and Investment

The Chartered Institute for Securities & Investment is the largest and most widely respected professional body for those who work in the securities and investment industry in the UK and in a growing number of major financial centres round the world.

Formed in 1992 by London Stock Exchange practitioners, we now have more than 40,000 members in 89 countries. In the past year, we set almost 40,000 examinations in 49 countries, covering a range of vocational qualifications.

### Stratadigm is an Accredited Training Partner for CISI in India and the Sultanate of Oman

With this Certificate you are globally acknowledged as a professional who has a sound understanding of how the role of IT relates to investment operations. This certificate will help software professionals further their careers in the Banking and Financial Services (BFS) domain.

#### And more...

...this certificate can be followed by additional certificates that could lead to the institute conferring the 'Diploma in Investment Operations'. Eventually, you can emerge as a professional with domain competence enabling you to take on assignments that require a blend of skills. Such a blend would be uniquely available only in a few people worldwide, including you.

**As the holder of the certificate issued by Stratadigm** you can be confident that your investment in the examination fees of the international body will be rewarded with success. The Stratadigm certification process ensures your knowledge levels are sufficient and adequately tested to be successful in the international certification exam.

## About the programme

### Coverage

The program gives you a background of the securities industry, covers US and UK regulatory practices and an insight into the role fulfilled by IT in Investment Operations. A detailed synopsis of the area of study is placed overleaf.

### Program Delivery

The program is delivered through in class room sessions through 80 hours of instruction. Programs are driven by an exciting blend of cases, role plays, problem solving and quizzes.

### Resource Center

The program is supported by a special subject-matter Resource Center which the participant can access online for the duration of the program till the date of the certification exam.

A Stratadigm certified candidate has further access to the resource center to update his / her professional knowledge on an ongoing basis.

Cafe Stratadigm, a monthly newsletter allows participants to retain the perspective and industry awareness acquired through the program.

### Participants get

- A course handbook which covers the subject matter comprehensively
- Resource Centre access
- Course notebook

### Tutors

Stratadigm tutors are experienced professionals (domestic and overseas) with subject matter expertise. They are rigorously oriented to Stratadigm's proven methodology of course delivery designed for learning effectiveness. Tutors are individually approved by CISI.

### Logistics

Programs are conducted at your work location or at a suitable location organized by Stratadigm. The timings are

worked out to the requirements of working professionals who need to balance work considerations and personal commitments.

Alternatively, for those at distributed locations our Virtual Classroom overcomes the logistics issues easily.

### Your Commitment

Participants must commit to attending more than 80% of the sessions and complete all assignments rigorously and on time. This is necessary to derive complete benefits of the program of study and to achieve the standard necessary for eventual certification.

### Examinations

One hour multiple choice examination comprising 50 questions".

Computer Based Testing is conducted round the year at CISI authorised testing centres in Ahmedabad, Bengaluru, Hyderabad, Kolkata, Chennai, Gurgaon, and Mumbai. Candidates are advised to book the slots in advance due to limitations in number of seats available at each centre.

There are 3 stages to complete when a candidate wants to sit their first examination:

- Registering with the CISI paying the registration fee
- Booking an CISI examination - first by completing an examination entry form
- Submitting a Qualification Registration Form.

The ITIO examination will be of 1 hour duration consisting of 50 questions drawn from 9 different modules.

### Fee

Stratadigm training fees of Rs. 20,000/- per participant.

Please note that the Stratadigm course fee does not include the CISI Registration and Exam fees (currently about GBP 400).

For more information please write to: [info@stratadigm.biz](mailto:info@stratadigm.biz) or call: +91 40 40123624